

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: <u>3 Oct 2005 (03.10.2005)</u>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. PRIORITY NO (if known) 10/551766 Not Yet Assigned
INTERNATIONAL APPLICATION NO.: PCT/JP2004/004857	INTERNATIONAL FILING DATE: 02 April 2004 (02.04.2004)	PRIORITY DATE CLAIMED: 04 April 2003 (04.04.2003) JP 04 April 2003 (04.04.2003) JP 04 April 2003 (04.04.2003) JP 16 December 2003 (16.12.2003) JP
TITLE OF INVENTION: AUDIO/VIDEO RECORDING APPARATUS, RECORDING METHOD, REPRODUCING APPARATUS, REPRODUCING METHOD, REPRODUCING PROGRAM, AND RECORDING PROGRAM		
APPLICANT(S) FOR DO/EO/US: FUCHIGAMI, Norihiko; HIGURASHI, Seiji.		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items(5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p style="margin-left: 20px;">a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</p> <p>20. <input checked="" type="checkbox"/> Other items or information: TRANSMITTAL FORM WITH FEE CALCULATION; INTERNATIONAL PUBLICATION 2004/091204 A1 WITH INTERNATIONAL PUBLICATION DATE 21 OCTOBER 2004 WHICH CONSISTS OF 141 PAGES INCLUDING 1 COVER SHEET, 81 PAGES TEXTUAL SPECIFICATION, 36 PAGES OF 29 CLAIMS, 1 PAGE OF THE ABSTRACT, 22 SHEETS DRAWINGS; UEXECUTED INVENTOR'S DECLARATION; PCT/ISA/210 INTERNATIONAL SEARCH REPORT; ENGLISH TRANSLATION OF INTERNATIONAL SEARCH REPORT; INFORMATION DISCLOSURE STATEMENT; PTO FORM 1449 CITING 4 REFERENCES AND 4 REFERENCES ATTACHED; PCT/ISA/237 WRITTEN OPINION; PCT/IB/304 TRANSMITTAL OF PRIORITY DOCUMENT; PCT/IB/308 SECOND AND SUPPLEMENTARY NOTICE.</p>		

U.S. APPLICATION NO. (if known) not yet assigned: 10/551766	INTERNATIONAL APPLICATION NO. PCT/ JP2004/004857	DATE: <u>03/21/2005</u> (<u>03/10/2005</u>)
21. Basic national fee \$ 300 22. Examination fee If the written opinion prepared by the ISA/US or the international preliminary examination report prepared by IPEA/US and all claims satisfy Provisions of PCT Article 33(1)-(4) \$ 0 All other situations..... \$200 23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$ 0 Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500 _____ Additional fee for specification and drawings filed in paper over 100 sheets (excluding Sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets to paper or fraction thereof. TOTAL OF 21, 22 & 23=		CALCULATIONS \$ 300 \$ 200 \$ 400 \$ 250 \$ 1150
Surcharge of \$130.00 for furnishing the oath or declaration later than <u>20</u> <u>30</u> months from the earliest claimed priority date (37 CFR 1.492(e)). TOTAL OF ABOVE CALCULATIONS =		\$
Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28). SUBTOTAL =		\$ 0.00
Processing fee of \$130.00 for furnishing the English translation later than <u>20</u> <u>30</u> months from the earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE =		\$ 0.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$ 0.00
TOTAL FEES ENCLOSED =		\$ 4010.00
		Amount to be: refunded charged
		\$ \$

JC05 Rec'd PCT/PTO 03 OCT 2005

U.S. APPLICATION NO.
(if known) not yet assigned

INTERNATIONAL APPLICATION NO.

DATE: 03 at 2005 (03.10.2005)

PCT/ JP2004/004857

10/551766

a. One check in the aggregate amount of \$ 4010.00 to cover the above fees is enclosed.

b. Please charge my Deposit Account No. 14-0112 in the amount of \$ _____ to cover the above fees. (A duplicate copy of this sheet is enclosed.)

c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0112.

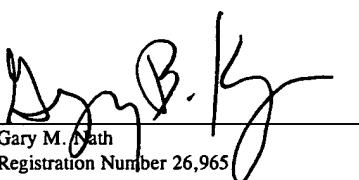
d. Fees are to be charged to a credit card WARNING: Information on this form may become public Credit Card Information should not be included on this form. Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
FUCHIGAMI et al.

International Application No. PCT/JP2004/00457

Serial No. NOT YET ASSIGNED

International Filing Date: 2 April 2004 (02.04.2004)

Filed: Oct 2, 2005

For: **AUDIO/VIDEO RECORDING APPARATUS, RECORDING METHOD, REPRODUCING APPARATUS, REPRODUCING METHOD, REPRODUCING PROGRAM, AND RECORDING PROGRAM**

10/551766

JC05 Rec'd PCT/PTO 03 OCT 2005

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

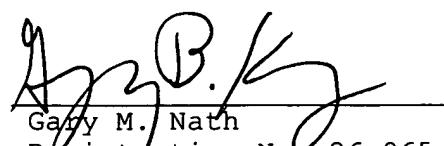
Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter
- (2) Transmittal Letter To U.S. Designated/Elected Office (DO/EO/US) Concerning Filing under 35 U.S.C. 371
- (3) International Publication No: WO 2004/091204 A1
International Publication Date: 21 October 2004 (21.10.2004)
which consists of 141 pages including:
 - 1 Cover Page of the Publication,
 - 81 pages Textual Specification,
 - 36 pages of 29 Claims,
 - 1 page of the Abstract;
 - 22 sheets of Drawings;
- (4) Uexecuted Inventor's Declaration;
- (5) PCT/ISA/210 International Search Report;
- (6) English translation of International Search Report;
- (7) Information Disclosure Statement;
- (8) PTO Form 1449 citing 4 references and 4 references attached;
- (9) PCT/ISA/237 Written Opinion
- (10) PCT/IB/304 Transmittal of Priority Document;
- (11) PCT/IB/308 Second and Supplementary Notice;
- (12) Check No. 23737 \$ 4,010.00 for Government Filing Fee, Search fee, Examination Fee(\$ 900), 14 additional claims (\$700), 9 independent claims(1,800) multiple dependent fee(\$360) and 41 pages over 100(\$250)fee;
- (13) Postcard for early notification of serial number.

Respectfully submitted,
NATH & ASSOCIATES PLLC

By:



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